

(Pages : 3)

S – 1858

Reg. No. :

Name :



Fifth Semester B.Com. Degree Examination, December 2023

First Degree Programme under CBCSS

Open Course I

CO 1551.3 / CC 1551.3 / CX 1551.3 / TT 1551.3 : CAPITAL MARKET OPERATIONS

(2014 Admission Onwards)

(Common for Commerce / Commerce with Computer Application / Commerce and Tax Procedure and Practice / Commerce and Tourism and Travel Management)

Time : 3 Hours

Max. Marks : 80

SECTION – A

Answer **all** questions in **one** word or to a maximum of **two** sentences each. **Each** question carries **1** mark.

1. What is Sensex?
2. State any two features of equity instruments.
3. Who is an Odd-jot Dealer?
4. Define Investment.
5. What is stock market?
6. Define Prospectus.
7. What is Information Memorandum?
8. What is Speculation?

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9. What is Preferential Issue?
10. What is a depository?

(10 × 1 = 10 Marks)

SECTION – B

Answer any **eight** questions not exceeding a paragraph each. **Each** question carries **2** marks.

11. What is the key difference between Bullish and Bearish Speculators?
12. Give a note on Rights Issue.
13. What is the main use of Stock market index?
14. Define option.
15. What do you mean by Futures Contracts.
16. Write a note on BSE.
17. What is portfolio?
18. Define a Promoter.
19. Distinguish between put option and call option.
20. State the advantages of investing in debt securities.
21. What do you mean by Qualified Institutional Buyers?
22. What is a debt instrument?

(8 × 2 = 16 Marks)

SECTION – C

Answer any **six** questions in about 120 words each. **Each** question carries **4** marks.

23. What are the main functions of new issue market?
24. Discuss different methods of underwriting.
25. State the drawbacks of Bought out Deal.
26. Write a note on Offer for sale.

27. What are the merits and demerits of Issue through Prospectus?
28. Briefly explain any four functions of stock exchanges.
29. What are the limitations of the book-building system?
30. What are the important characteristics of derivatives?
31. What do you think about the significance of new issue market?

(6 × 4 = 24 Marks)

SECTION – D

Answer any **two** questions in not exceeding 4 pages. **Each** question carries **15** marks.

32. What is OTCEI? Explain the unique features of OTCEI.
33. What is Dematerialisation? Explain the process of Dematerialisation.
34. What are the features of Futures Contract? How it differs from Forwards Contract?
35. Give a brief explanation on different types of Securities traded in the stock exchange.

(2 × 15 = 30 Marks)